



Compliance Report

Sample for Demonstration Use

Prepared For: Entropy Manufacturing, Inc.

Prepared By: Respondy

Report Reference: RSP CR 2026 014

Revision: 0

Report Date: March 26, 2026

Product Family: Industrial Control Module Series

Reviewed Configuration: ICM 200 Main Control Assembly, Revision B

BOM Reference: BOM ICM 200 REV B dated March 18, 2026

Assessment Scope: This report is a customer facing summary of RoHS and REACH material compliance status for the reviewed configuration listed above, based on supplier declarations and related material evidence reviewed as of the report date.

Regulatory Basis: EU RoHS Directive 2011/65/EU as amended, including Directive (EU) 2015/863; REACH Regulation (EC) No 1907/2006; REACH Candidate List and Article 33 communication review basis as in force on March 26, 2026.

Report Note: This report is a summary deliverable and is not the underlying Technical File, a signed Declaration of Conformity, or a substitute for product level source records.

1. Report Summary

This section summarizes documentation coverage for the reviewed configuration as of the report date. The reviewed records substantially support the current compliance position, but open items remain and prevent full closeout of the report.

Item	Assessment
Current Overall Status	Substantially supported
BOM Coverage	96% of reviewed BOM lines supported
BOM Line Coverage	Strong documentation coverage across the reviewed BOM
Open Items	Limited open items tied to 2 remaining gap items with no identified blocking noncompliance
Evidence Quality	Core component evidence is in place and generally supports the reviewed configuration
Confirmed Blocking Noncompliance	None identified in the reviewed records set as of the report date
Final Closeout Status	Not fully closed pending limited follow up items

2. Evidence Coverage

This table summarizes the current level of documentation support across the reviewed records set, including areas with limited remaining documentation gaps.

Coverage Category	Status	Summary
BOM Line Representation	Strong	Records provide strong coverage across the reviewed BOM for the assessed configuration.
Supplier Declaration Coverage	Strong	Core supplier documentation is in place pending limited connector record refresh.
RoHS Support Position	Strong	Reviewed records support RoHS pending limited connector updates.
REACH Support Position	Strong	Reviewed records generally support REACH pending cable material clarification.
Part to Record Traceability	Generally supported	Most major components are supported with limited traceability updates still needed.
Record Currency and Specificity	Strong	Current records are generally usable pending limited refresh and specificity updates.

3. Gap Details

The remaining open items prevent a fully closed outward claim and should be addressed to complete the record.

Item Number	1
Gap Item	Legacy connector subcomponents
Compliance Area	RoHS and REACH
Current State	Current supplier documentation on file is outdated and not clearly tied to the current part revision for several connector related BOM lines.
Required Action	Obtain updated product specific supplier documentation or document an accepted evidence basis tied to the current revision and affected BOM lines.

Item Number	2
Gap Item	Cable assembly materials
Compliance Area	REACH and material composition support
Current State	Assembly level documentation is available, but conductor and jacket material support is not yet specific enough for full closeout of the affected cable assembly lines.
Required Action	Request updated supplier material compliance support that clearly covers the current cable assemblies and their material composition basis.

4. Risk Notes

The current risk position is manageable if the remaining documentation gaps are closed and future BOM changes are supported by updated compliance records.

- Some supplier records are older or less specific than preferred, which may require refresh before external sharing.
- BOM substitutions made after the report date could change the current support position unless replacement records are reviewed and aligned.
- REACH SVHC status should be rechecked against the next Candidate List update as part of normal maintenance.
- No RoHS exemptions were identified in the reviewed records set as of the report date. If exemptions are later used, validity should be confirmed before report closeout.

5. Next Actions

This table outlines the actions needed to close the remaining open items. It shows ownership and target timing for each next step.

Next Action	Owner	Target Timing
Coordinate updated supplier documentation for the affected legacy connector BOM lines and confirm revision specific coverage	Respondy	By April 9, 2026
Request updated material compliance support for the affected cable assembly lines and confirm conductor and jacket coverage	Respondy	By April 9, 2026
Review updated report revision and confirm approval for external sharing	Client	By April 16, 2026

6. Report Notes and Limitations

This report provides a summary view of the reviewed configuration based on records available as of the report date. It is not the underlying Technical File, a signed declaration, or a substitute for product specific source records. The conclusions apply only to the reviewed scope and may be affected by later changes to product composition, suppliers, or parts. The Client remains the legal manufacturer and signatory for formal product declarations.